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12-15-00
HALLMAN

ATTN: BOX PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Q58716

In re Application of

Yasukazu NIHEI and Masayuki NAYA

Appln. No.: NOT YET ASSIGNED

Filed: August 28, 2000

For: OPTICAL WAVELENGTH CONVERTING DEVICE AND PROCESS FOR PRODUCING THE SAME
INFORMATION DISCLOSURE STATEMENT
UNDER 37 CFR §§ 1.97 and 1.98

10836 U.S. PTO
09/649013
08/28/00

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

In accordance with the duty of disclosure under 37 CFR §1.56, Applicants hereby notify the U.S. Patent and Trademark Office of the documents which are listed on the attached Form PTO-1449 and/or listed herein and which the Examiner may deem relevant to patentability of the claims of the above-identified application.

One copy of each of the listed documents is submitted herewith.

The present Information Disclosure Statement is being filed (1) no later than three months from the application's filing date or (2) before the mailing date of the first Office Action on the merits (whichever is later), and therefore no certification under 37 C.F.R. § 1.97(e) or fee under 37 C.F.R. § 1.17(p) is required.

In compliance with the concise explanation requirement under 37 CFR §1.98(a)(3) for foreign language documents, Applicants submit the following explanations:

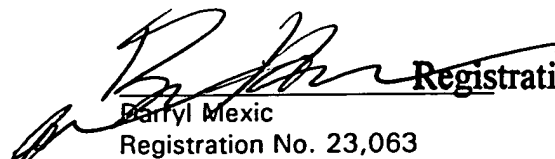
Japanese Unexamined Patent Publication No. 7-72521 is disclosed on page 2 in the specification of the above-identified application.

An English language abstract of Japanese Unexamined Patent Publication No. 4-335620 is submitted herewith as a concise statement of relevance.

An English language abstract of Japanese Unexamined Patent Publication No. 10-170966 is submitted herewith as a concise statement of relevance.

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicants do not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

Respectfully submitted,


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